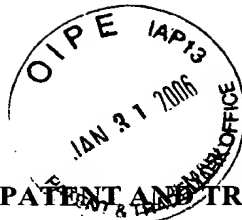


Docket No. 209774US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Karsten REIHS, et al.

SERIAL NO: 09/869,123

GAU: 1711

FILED: October 3, 2001

EXAMINER: BISSETT, MELANIE D.

FOR: ULTRAPHOBIC SURFACE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 209774US0PCT		SERIAL NO. 09/869,123	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Karsten REIHS, et al.			
				FILING DATE October 3, 2001		GROUP 1711	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER		NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,674,592	10/07/97	CLARK, ET AL.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO	WO96/04123	02/15/96	WIPO			
	AP	EP 0 933 388 A2	08/04/99	EUROPE			
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 209774US0PCT		SERIAL NO. 09/869,123	
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				FILING DATE October 3, 2001		GROUP 1711	
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)							
	AAA	Kaoru Tsujii, Takamasa Yamamoto, Tomohiro Onda und Satoshi Shibuichi, "Superolabstobende Oberflächen", Angew. Chem 1997, 109, Nr. 9					
	AAB	Kiyoharu Tadanaga, et al. "Super-Water-Repellent Al ₂ O ₃ Coating Films with High Transparency," J. Am. Ceramic Soc., 80 (4) 1040-1042, 1997.					
	AAC	Dazufumi OGAWA, et al., "Development of a Transparent and Ultrahydrophobic Glass Plate", Jpn. J. Appl Phys. Vol. 32, (1993) pp. L614-615.					
	AAD	W. Barthlott and C. Neinhuis, "Lotus-Effekt und Autolack: Die Selbstreinigungsfähigkeit mikro-strukturierter Oberflächen", Biologie in unserer Zeit/28. Jahrg. 1998/ Nr. 5.					
	AAE	Falbe, et al., ROMPP CHEMIE LEXIKON, pp 1626-1628.					
	AAF	ROMPP, Herausgeber: Jurgen Falbe, Manfred Regitz, pp 1649 and 1650.					
	AAG	ROMPP LEXIKON, Chemie 10., völlig überarbeitete Auflage, pp. 135-138.					
	AAH	Ullmann's Encyclopedia of Industrial Chemistry, Volumes A1-A28: alphabetically arranged articles, Volumes B1-B8: basic knowledge, pg. 481, 514-518,.					
	AAI	Falbe, et al., ROMPP CHEMIE LEXIKON, 9., erweiterte und neu-bearbeitete auflage, pp 3921-3922.					
	AAJ	Ullmans encyklopadie der technischen Chemie, 4., neubearbeitete und erweiterte Auflage, pp 51-54.					
	AAK	Satoshi Shibuichi, et al., Super Water-Repellent Surfaces Resulting from Fractal Structure", J. Phys. Chem. 1996, 100, pp. 19512-19517.					
	AAL						
	AAM						
	AAN						
	AAO						
	AAP						
	AAQ						
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							